UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/036,729	12/21/2001	Jaap M. Middeldorp	9250-13DVCTDV	6359	
20792 759 MVFRS BIGEL S	03/22/200 SIBLEY & SAJOVE		EXAMINER		
PO BOX 37428			LI, QIAN JANICE		
RALEIGH, NC 27627			ART UNIT	PAPER NUMBER	
			1633		
	_				
SHORTENED STATUTORY P	ERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE		
3 MONT	HS	03/22/2007	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No	. Applicant(s)	
Office Action Comme	10/036,729	MIDDELDORP	PET AL.
Office Action Summar	Y Examiner	Art Unit	
	Q. Janice Li, M.	D. 1633	_
The MAILING DATE of this com	nmunication appears on the cove	r sheet with the correspondence	address
A SHORTENED STATUTORY PERIOD WHICHEVER IS LONGER, FROM THE - Extensions of time may be available under the prografter SIX (6) MONTHS from the mailing date of this - If NO period for reply is specified above, the maxin - Failure to reply within the set or extended period for Any reply received by the Office later than three meanned patent term adjustment. See 37 CFR 1.70	HE MAILING DATE OF THIS Convisions of 37 CFR 1.136(a). In no event, how a communication. The property of the	OMMUNICATION. vever, may a reply be timely filed SIX (6) MONTHS from the mailing date of th to become ABANDONED (35 U.S.C. § 133).	nis communication.
Status			
1) Responsive to communication(s	s) filed on <u>24 January 2007</u> .		
2a) ☐ This action is FINAL .	2b)⊠ This action is non-fir	al.	•
3) Since this application is in cond	ition for allowance except for fo	rmal matters, prosecution as to	the merits is
closed in accordance with the p	ractice under Ex parte Quayle,	1935 C.D. 11, 453 O.G. 213.	
Disposition of Claims			
4)⊠ Claim(s) <u>6-9,26,27 and 32-34</u> is	s/are pending in the application.		٠.
4a) Of the above claim(s)			
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>6-9,26,27 and 32-34</u> is	/are rejected.		
7) Claim(s) is/are objected	•	·	·
8) Claim(s) are subject to re	estriction and/or election require	ement.	·
Application Papers	•		
9) The specification is objected to b	ov the Examiner.		
10) The drawing(s) filed on is	•	iected to by the Examiner.	
Applicant may not request that any).
Replacement drawing sheet(s) incli	•	·	
11) The oath or declaration is object			
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a cl	aim for foreign priority under 35	SUSC 8 119(a)-(d) or (f)	
a) All b) Some * c) None		7 3.3.3. § 113(a) (a) 51 (i).	
	ority documents have been rec	eived.	
	ority documents have been rece		
<u> </u>		ave been received in this Nation	nal Stage
	national Bureau (PCT Rule 17.2		
* See the attached detailed Office a	·		
Attachment(s)			
1) Notice of References Cited (PTO-892)	۵.□	Interview Summary (PTO-413)	
2) Notice of Draftsperson's Patent Drawing Revi		Paper No(s)/Mail Date	
3) Information Disclosure Statement(s) (PTO/SB	5) 🗌	Notice of Informal Patent Application	
Paper No(s)/Mail Date U.S. Patent and Trademark Office	6) ∐ 	Other:	
PTOL-326 (Rev. 08-06)	Office Action Summary	Part of Paper No.	/Mail Date

Art Unit: 1633

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 1/24/07 has been entered.

Claim 6 has been amended. Claims 6-9, 26, 27, 32-34 are pending and under current examination.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 6-9, 26, 27, 32-34 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Art Unit: 1633

The methodology for determining adequacy of Written Description to convey that applicant was in possession of the claimed invention includes determining whether the application describes an actual reduction to practice, determining whether the invention is complete as evidenced by drawings, or determining whether the invention has been set forth in terms of distinguishing identifying characteristics as evidenced by other descriptions of the invention that are sufficiently detailed to show that applicant was in possession of the claimed invention (*Guidelines for Examination of Patent Applications under 35 U.S.C. § 112, p 1 "Written Description" Requirement;* Federal Register/ Vol 66. No. 4, Friday, January 5, 2001; Il Methodology for Determining Adequacy of Written Description (3.)).

These claims are directed to an isolated nucleic acid sequence comprising a nucleotide encoding a peptide, which peptide is immunologically reactive with antibodies to the EB virus VCA-p18 or VCA-p40. Given the broadest reasonable interpretation, these nucleic acid sequences are limited only by the fact that they contain a nucleotide, whose expression product has certain immune reactivity, while since there is no length limitation on the nucleic acid sequences, they could be a genomic sequence or a fusion protein sequence, or any other known or unknown nucleic acid sequences that happen to contain SEQ ID Nos: 1 and/or 3.

Further, given the broadest reasonable interpretation, claim 6 encompasses nucleic acid sequences that encode a protein that is not VCA-p18 or VCA-40. This is because an antibody specific for a particular protein are mostly reactive with closely related antigens, but sometimes also binds to antigens having no clear relationship to

Art Unit: 1633

the antigen, particularly when such antigen contains a sequence having high sequence homology with the specific antigen. *Janeway Jr.* (Immunobiology 2001) teach, the antibodies generated in a natural immune response or after immunization in the laboratory are a mixture of molecules of different specificities and affinities. Some of this heterogeneity results from the production of antibodies that bind to different epitopes on the immunizing antigen, but even antibodies directed at a single antigenic determinant such as a hapten can be markedly heterogeneous, as shown by <u>isoelectric focusing</u>. (§ A-12). These cross-reacting antibodies can create problems when they are used to detect a specific antigen, particular when it is a large protein contains epitopes encoded by the claimed SEQ ID Nos: 1 & 3. Accordingly, the disclosure of SEQ ID Nos: 1 & 3 are insufficient to describe the genus of nucleic acid sequences encompassed by the claims.

An adequate written description for a genus of nucleic acid sequences encompassed by instant claims requires more than a statement that they are part of the invention, what is required is a description of the sequences themselves. The court has made it very clear "Conception of Chemical Compound Requires that inventor be able to DEFINE COMPOUND SO AS TO DISTINGUISH IT FROM OTHER MATERIALS, AND TO DESCRIBE HOW TO OBTAIN IT, RATHER THAN SIMPLY DEFINING IT SOLELY BY ITS PRINCIPAL BIOLOGICAL ACTIVITY".

Amgen Inc. v. Chugai Pharmaceutical Co. Ltd., 18 USPQ2d 1016 (Fed. Cir. 1991).

The Revised Interim Guidelines state "THE CLAIMED INVENTION AS A WHOLE MAY NOT BE ADEQUATELY DESCRIBED IF THE CLAIMS REQUIRE AN ESSENTIAL OR CRITICAL ELEMENT WHICH IS NOT ADEQUATELY DESCRIBED IN THE SPECIFICATION AND WHICH IS NOT CONVENTIONAL IN THE ART"

Art Unit: 1633

(Column 3, page 71434), "When there is substantial variation within the genus, one must describe a sufficient variety of species to reflect the variation within the genus", "In an unpredictable art, adequate written description of a genus which embraces widely variant species cannot be achieved by disclosing only one species within the genus" (Column 2, page 71436).

Vas-Cath Inc. v. Mahurkar, 19USPQ2d 1111, clearly states that "APPLICANT MUST CONVEY WITH REASONABLE CLARITY TO THOSE SKILLED IN THE ART THAT, AS OF THE FILING DATE SOUGHT, HE OR SHE WAS IN POSSESSION OF THE INVENTION. THE INVENTION IS, FOR PURPOSES OF THE 'WRITTEN DESCRIPTION' INQUIRY, WHATEVER IS NOW CLAIMED." (See page 1117.) The specification does not "clearly allow persons of ordinary skill in the art to recognize that [he or she] invented what is claimed." (See Vas-Cath at page 1116).

One cannot describe what one has not conceived. See *Fiddes v. Baird*, 30 USPQ2d 1481, 1483. In *Fiddes*, claims directed to mammalian FGF's were found to be unpatentable due to lack of written description for that broad class. The specification provided only the bovine sequence.

In view of these considerations, a skilled artisan would not have viewed the teachings of the specification as sufficient to show that the applicant was in possession of the claimed invention commensurate to its scope because it does not provide adequate written description for the genus sequences encompassed by the claims.

Therefore, only the described SEQ ID Nos: 1 & 3 meet the written description provision of 35 U.S.C. §112, first paragraph.

Art Unit: 1633

Claims 6-9, 26, 27, 32-34 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The factors to be considered when determining whether the disclosure satisfies the enablement requirements and whether undue experimentation would be required to make and use the claimed invention are summarized in *In re Wands*, (858 F2d 731, 737, 8 USPQ 2d 1400, 1404, (Fed Cir.1988)). These factors include but are not limited to the nature of the invention, the state of the prior art, the relative skill of those in the art, the predictability of the art, the breadth of the claims, and amount of direction provided. The factors most relevant to this rejection are the scope of the claims relative to the state of the art and the levels of the skilled in the art, and whether sufficient amount of direction or guidance are provided in the specification to enable one of skill in the art to practice the claimed invention.

To the extent that the claimed sequences are not adequately described in the instant disclosure, claims 6-9, 26, 27, 32-34 are also rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention, since a disclosure cannot teach one to make or use something that has not been described, which is not conventional in the art.

Art Unit: 1633

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 6-9, 26, 27, 32-34 are rejected under 35 U.S.C. 102(b) as being anticipated by *Laux et al.* (The EMBO J 1988;7:769-74).

Laux et al. teaches a nucleic acid sequence comprising instant SEQ ID No: 1, which encodes at least 12 contiguous amino acids of EBV VCA-p18 (the amino acid sequence SEQ No: 5), which would be immunochemically reactive with antibodies to the EBV VCA-p18. Laux et al. also teaches a nucleic acid sequence comprising a sequence that shares 98.8% homology with instant SEQ ID No: 3 (subsequence thereof), which encodes 12 contiguous amino acids of an EBV VCA-40. Laux et al. discloses a vector comprising the sequences (e.g. fig. 1). Accordingly, Laux et al. anticipates instant claims.

Claims 6-9, 26, 27, 32-34 are rejected under 35 U.S.C. 102(b) as being anticipated by *Bankier et al.* (Mol Biol Med 1983;1:425-445).

Bankier et al. teaches a nucleic acid sequence comprising instant SEQ ID No: 1, which encodes at least 12 contiguous amino acids of EBV VCA-p18 (the amino acid sequence SEQ No: 5), which would be immunochemically reactive with antibodies to

Art Unit: 1633

the EBV VCA-p18. *Bankier et al.* also teaches a nucleic acid sequence comprising a sequence that shares 98.8% homology with instant SEQ ID No: 3 (subsequence thereof), which encodes 12 contiguous amino acids of an EBV VCA-40. *Bankier et al.* discloses that the sequences were cloned in a vector (e.g. figs. 1-3). Accordingly, *Bankier et al.* anticipates instant claims.

No claim is allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Q. Janice Li** whose telephone number is **571-272-0730**. The examiner can normally be reached on 9:30 am - 7 p.m., Monday through Friday, except every other Wednesday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Joseph Woitach** can be reached on **571-272-0739**. The **fax** numbers for the organization where this application or proceeding is assigned are **571-273-8300**.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within

Application/Control Number: 10/036,729 Page 9

Art Unit: 1633

5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199.

Q. JANICE LI, M.D. PRIMARY EXAMINER

Q Janice Li, M.D. Primary Examiner Art Unit 1633

QJL March 19, 2007